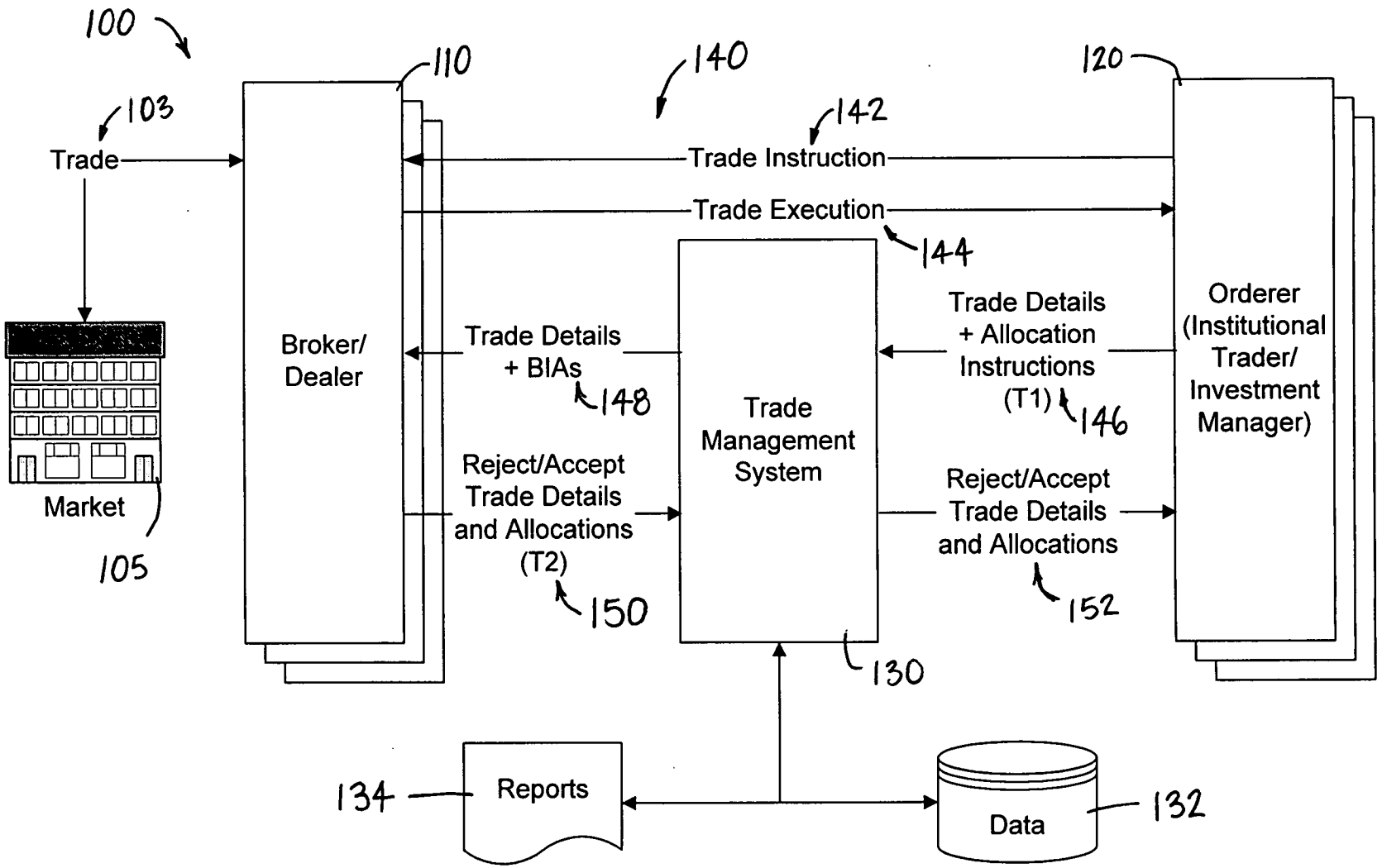
100
110
140
120
103
142
Trade
Trade Instruction
Trade Execution
144
Broker/
Dealer
Trade Details
+ BIAs
148
Trade
Management
System
Trade Details
+ Allocation
Instructions
(T1)
146
Orderer
(Institutional
Trader/
Investment
Manager)
Market
105
Reject/Accept
Trade Details
and Allocations
(T2)
150
Reject/Accept
Trade Details
and Allocations
152
130
134
Reports
Data
132

FIG. 1

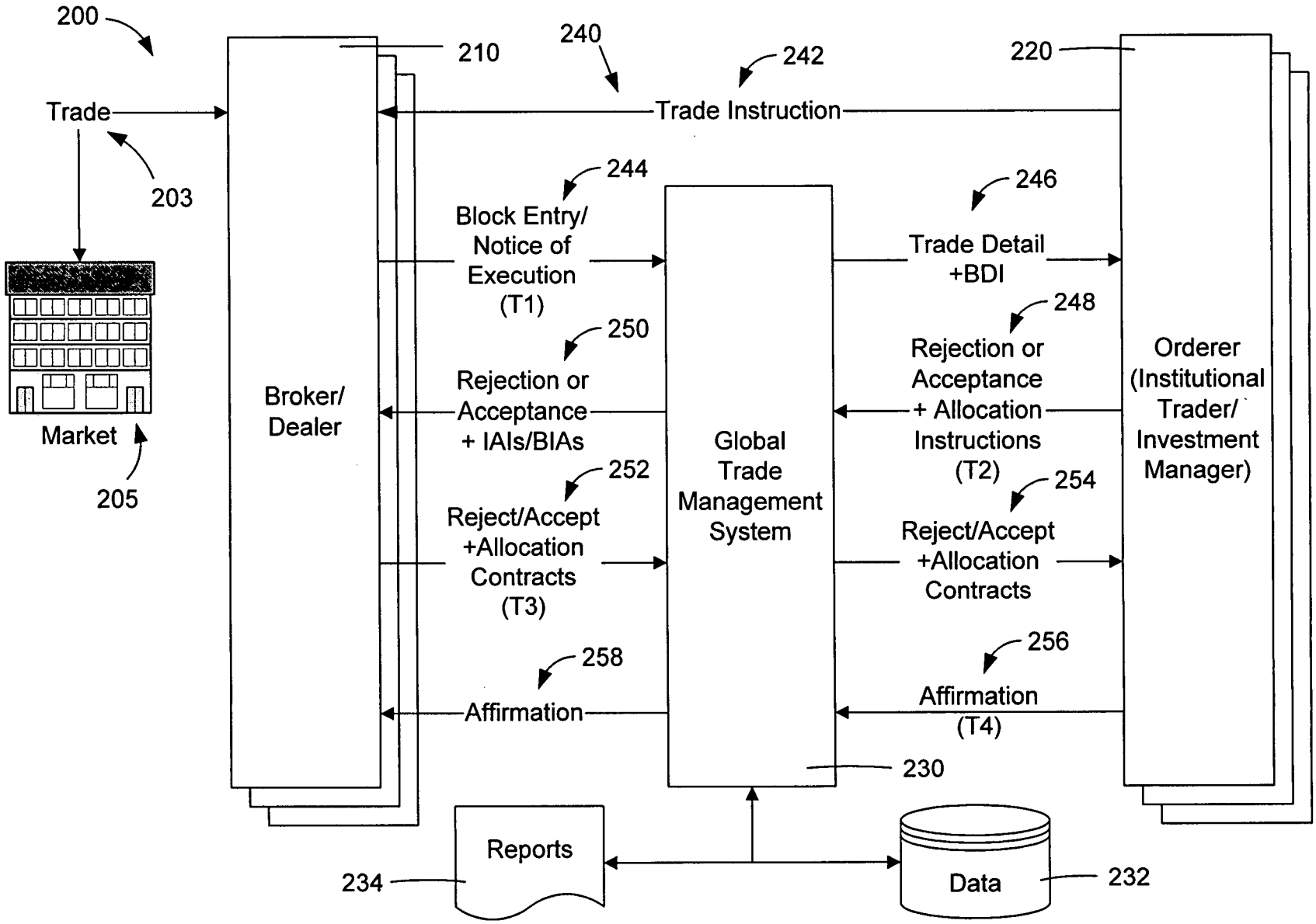
200
210
240
242
220
Trade
Trade Instruction
203
244
246
Block Entry/
Notice of
Execution
(T1)
Trade Detail
+BDI
248
250
Rejection or
Acceptance
+ IAIs/BIAs
Rejection or
Acceptance
+ Allocation
Instructions
(T2)
Orderer
(Institutional
Trader/
Investment
Manager)
Broker/
Dealer
Market
205
252
254
Reject/Accept
+Allocation
Contracts
(T3)
Global
Trade
Management
System
Reject/Accept
+Allocation
Contracts
256
258
Affirmation
Affirmation
(T4)
230
Reports
234
Data
232

FIG. 2

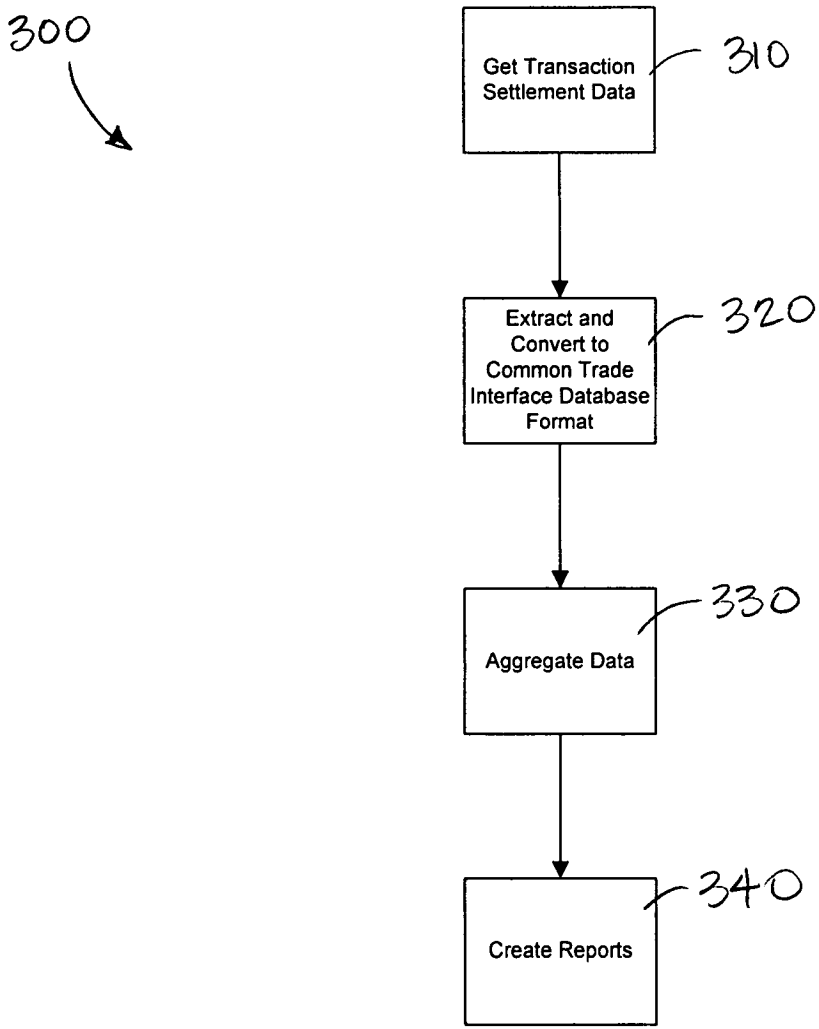
300
Get Transaction Settlement Data
310
Extract and Convert to Common Trade Interface Database Format
320
Aggregate Data
330
Create Reports
340

FIG. 3

Attorney Docket

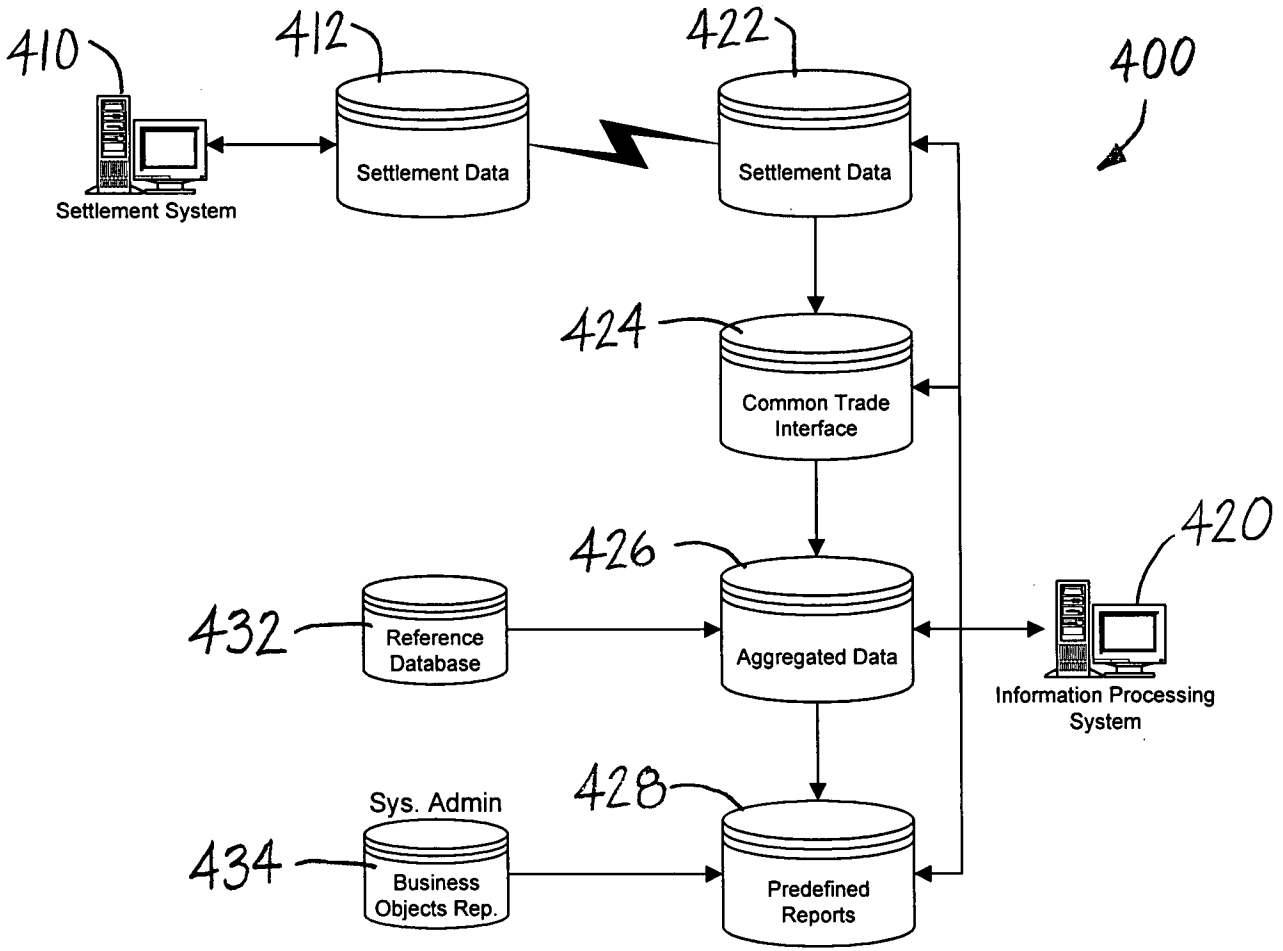

FIG. 4

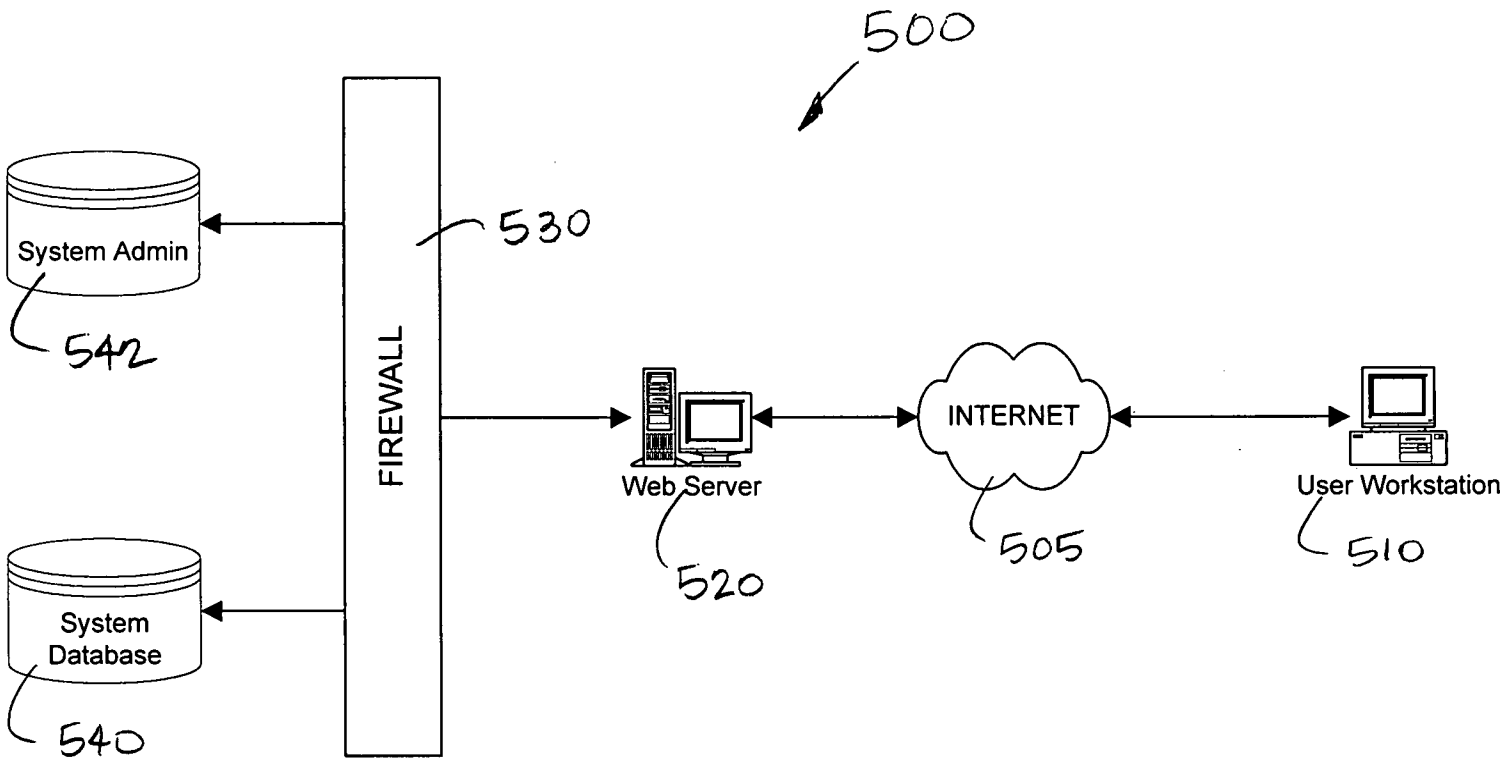
500
System Admin
542
FIREWALL
530
System Database
540
Web Server
520
INTERNET
505
User Workstation
510

FIG. 5